



2014 Advanced ACH Rules Review and AAP Exam Preparation Review

By attending this workshop students gain a deep understanding of complex concepts and nuances in the NACHA Operating Rules that relate to payment origination and receipt, risk management, rules, compliance, enforcement and exception processing. We will explore operational, legal and business aspects of the Rules, and offer insights into best practices, paying special attention to warranties, liabilities and responsibilities surrounding ACH participation. Students also learn to draw distinctions between commercial and consumer considerations, explore ODFI and RDFI perspectives and discuss the use of Third Parties.

Who Should Attend?

All ACH Staff. Everyone who works in or has responsibility for ACH should have a comprehensive knowledge and understanding of the ACH Rules.

ACH Professionals. You will benefit from an update on recent rules changes and a refresher course on basic provisions of the rules.

Anyone planning to take the AAP Exam. A comprehensive knowledge and understanding of the ACH Rules, operating guidelines, regulations and related industry standards is imperative for your successful completion of the Accredited ACH Professional Exam.

Advanced ACH Rules Review

The Advanced ACH Rules Review, scheduled from 9:00 a.m. to 2:00 p.m., will provide an in-depth understanding of all aspects of the *ACH Rules and Operating Guidelines*. The topical format of this review will enhance each participant's ability to reference the *ACH Rules* efficiently and accurately.

The AAP Exam Preparation Review

Individuals preparing for the Accredited ACH Professional Exam or considering it for the future are encouraged to stay for the review of the new AAP Exam Preparation, related discussions, and demo of ACHPro (another helpful tool for studying) from 2:15 p.m. to 4:00 p.m. The AAP Exam Preparation Review will assist participants in preparing for the AAP certification exam. AAP exam topics will be reviewed and guidelines for taking the exam will be discussed.

If you plan to take this year's AAP Exam, enroll early to get study materials from NACHA. You may register for the exam online at <http://www.nacha.org/c/edu-aap.cfm>. Click on "Register Now" on the left menu bar.

AAP Exam

Select a test date from October 6 - 25, 2014. For details, visit www.nacha.org or call us and request a brochure.

AAP STUDY AIDS

AAP Test Preparation Package (#682) - The Accredited ACH Professional (AAP) designation distinguishes its bearers as members of an elite corps of payments system professionals. To assist you in preparing for the AAP examination, administered once in the fall each year, the following recommended texts are offered through the Payments Publications catalog at \$25.00 off the total cover price:

AAP Special includes: 2014 ACH Rules, Compliance Manual, Risk Management Handbook, ACH Marketing Handbook and the Revised UCC-4A and the ACH Network.

Members: \$210; Others: \$364

ACH Pro (#529 or 529 IPAD) - Increase your knowledge of the ACH with ACH Pro, a self-paced, CD training tool. Test yourself using ACH Pro's game and scorekeeping features. Now available on IPAD!

Members: \$90; Others: \$185

AAP Flashcards (#555) - The flashcards provide a hands-on study aid for those planning to sit for the AAP Exam. Highlighting the six areas of focus for the AAP Exam, this is a great self-study tool to help registrants prepare for the exam and earn their accreditation. Timeframes, SEC codes, participant responsibilities and most of the need to know information is covered in this set.

Members: \$95; Others: \$190

You can order any of these publications on our website: www.sfe.org

The Summer AAP Study Program – For Members Only!

The Summer AAP Study Program will provide additional resources for our members to employ in studying for the exam. An interactive program has been developed utilizing a SFE Workbook, reading assignments, quizzes and monthly conference calls. In addition, an Accredited ACH Professional from the SFE staff will be available for email and telephone support. To register for the Summer AAP Study Program, click on "Webinars" under the "Education" section on our website at www.sfe.org.

Dates & Locations

June 24

Hampton Inn & Suites
2935 N. Germantown
Bartlett, TN
(901) 382-2050

June 25

Best Western
Richmond Suites
2683 Energy Drive
Baton Rouge, LA
(225) 924-6500

June 26

First National Bankers Bank
300 Concourse Blvd, #100
Ridgeland, MS
(888) 355-8739

Important Note: Each participant or group from the same financial institution should bring this year's ACH Rules Book to the seminar. The current edition of the Rules can be ordered on our website - www.sfe.org



Agenda

- 8:30 - 9:00 Registration**
- 9:00 - 9:15 Welcome & Overview**
- 9:15 - 2:00 Advanced ACH Rules Review**
NACHA Rules Review with emphasis on
- Participants and Legal Frame Work
 - Why Use ACH System
 - Electronic Data Interchange (EDI)
 - Risk Management
 - Audit/Compliance Requirements
 - Rule Book - Review of Contents & Layout
 - Federal Government's Role in ACH
 - Regulations CC, D, E, GG, UCC4A, & Uniform Operating Circulars
 - File Exchange Specifications
 - Compensation Rules
 - Arbitration Procedures
 - System of Fines

(Lunch will be provided.)

2:15 – 4:00 AAP Exam Preparation

What past attendees have said about this review course:

"This seminar greatly increased my knowledge and helped me feel more comfortable about taking the exam."

"Two factors helped me pass the AAP Exam. The first was the review course. Attending it helped me realize the type of questions to expect and how to realize the best answer. The second were the various books. They helped me prepare and answer the many questions."

"I always seem to obtain important information due to the seminar material and speakers' knowledge."

*Advanced ACH Rules Review - Earn up to 4.2 AAP Continuing Education Credits;
AAP Exam Prep Review - Earn up to 2.1 AAP Continuing Education Credits;
SFE classes may also qualify for CCM, CTP or CPE credits*

AAR/AAP Registration Form

Register by Fax, Mail or at www.sfe.org (click on Education tab)

Location: ☐ June 24 ☐ June 25 ☐ June 26
 Bartlett, TN Baton Rouge, LA Ridgeland, MS

Please indicate which review course you will be attending.

Advanced ACH Rules Review ONLY

MEMBERS:

☐ \$130 each (1 Registrant)
☐ \$117 each (2 Registrants)
☐ \$104 each (3 or more Registrants)

NON-MEMBERS:

☐ \$260 each (1 Registrant)
☐ \$234 each (2 Registrants)
☐ \$208 each (3 or more Registrants)

BOTH: Advanced ACH Rules & AAP Exam Review Courses

MEMBERS:

☐ \$150 each (1 Registrant)
☐ \$135 each (2 Registrants)
☐ \$120 each (3 or more Registrants)

NON-MEMBERS:

☐ \$300 each (1 Registrant)
☐ \$270 each (2 Registrants)
☐ \$240 each (3 or more Registrants)

☐ Payment Enclosed

☐ Invoice me

Registrant (Please fill out a separate form for each person.)

Name _____ AAP? Yes ☐

Badge Name _____

Title _____

Company _____

Mailing Address _____

City, State, Zip _____

Phone _____ Fax _____

E-mail _____

Cancellations - If you cannot attend, you may send a substitute without penalty. If you cancel, a fee of \$25 per person is charged. Cancellations must be submitted in writing and sent via fax (504) 525-1693 or email to tricia@sfe.org. Due to our commitments, cancellations received less than 7 days before the date of the seminar are not refundable. On-site registrations will be accepted on a space-available basis only.
No refunds for no shows.

Return to:

Seminar Registration
Southern Financial Exchange
1340 Poydras Street - Suite 2010
New Orleans, LA 70112-1296
Or fax to: (504) 525-1693

For more information about the seminar
content call:
(504) 525-6779 or (800) 626-4733
ext. 1122, 1141 or 1142.